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**TRANSMITTAL  
FORM**

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

15

Application Number

10/064,783

Filing Date

August 16, 2002

First Named Inventor

Lederman, Lawrence

Art Unit

3628

Examiner Name

Subramanian

Attorney Docket Number

02012-40137

**ENCLOSURES (Check all that apply)**

Fee Transmittal Form



Fee Attached



Amendment/Reply



After Final



Affidavits/declaration(s)



Extension of Time Request



Express Abandonment Request



Information Disclosure Statement



Certified Copy of Priority Document(s)

Reply to Missing Parts/  
Incomplete ApplicationReply to Missing Parts  
under 37 CFR 1.52 or 1.53

Drawing(s)



Licensing-related Papers



Petition

Petition to Convert to a  
Provisional Application

Power of Attorney, Revocation



Change of Correspondence Address



Terminal Disclaimer



Request for Refund



CD, Number of CD(s) \_\_\_\_\_

☐ Landscape Table on CD

After Allowance Communication to TC

Appeal Communication to Board  
of Appeals and InterferencesAppeal Communication to TC  
(Appeal Notice, Brief, Reply Brief)

Proprietary Information



Status Letter

Other Enclosure(s) (please identify  
below):**Remarks**

Amendment and Request for Reconsideration following June 19, 2006 non-final office action reopening prosecution.

**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT**

Firm Name

Milbank, Tweed, Hadley &amp; McCloy LLP

Signature

Printed name

Michael D. Kurzer

Date

September 18, 2006

Reg. No.

57,350

**CERTIFICATE OF TRANSMISSION/MAILING**

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Date

9/18/2006

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Docket No.: 02012-40137

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s) : Lawrence Lederman  
Serial No. : 10/064,783 Group Art Unit : 3624  
Filed : August 16, 2002 Examiner : Subramanian  
For : *SYSTEM AND METHOD FOR MANAGING CONCENTRATION OF  
CORPORATE DEBT*

AMENDMENT AND REQUEST FOR RECONSIDERATION  
(37 C.F.R. § 1.111)

COMMISSIONER FOR PATENTS  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

This Amendment and Request for Reconsideration is submitted in response to an  
outstanding Office Action dated June 19, 2006.

Amendments to the Claims are reflected in the listing of claims, which begins on page 2  
of this paper.

Remarks/Arguments begin on page 5 of this paper.